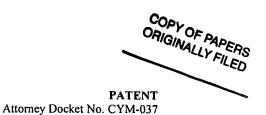


TRANSMITTAL FORM

| | | 9/2/02 |
|--|-------------------------|-----------------------|
| | Application Serial No.: | 10/008,379 MALLY APED |
| | Filing Date: | November 5, 2001 |
| | First Named Inventor | Ostgaard et al. |
| | Group Art Unit | 2621 |
| | Examiner Name | Not Yet Assigned |
| | Attorney Docket No. | CYM-037 |
| | | |

| ENCLOSURES (check all that apply) | | | | | | | | | |
|-----------------------------------|---|---|--|---|--|--|--|--|--|
| | Fee Transmittal Form | | Copy of Notice | to File Missing | | Appeal Communication to Board of Patent Appeals and Interferences | | | |
| | ☐ Check Attached ☐ Copy of Fee Transmittal Form | | Formal Drawin | ation (PTO-1553) | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| | Amendment/Response | | Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application | | | Status Letter | | | |
| | After Final | | | | ☒ | Return Receipt Postcard | | | |
| | Affidavits/declaration(s) Letter to Official Draftsperson including Drawings [Total Sheets] | Power of Atto (Revocation o | × | | Certificate of First Class Mailing under 37 C.F.R. 1.8 | | | | |
| | | | Terminal Disclaimer | | | Additional Enclosure(s) (please identify below) | | | |
| | Extension of Time Request | Executed Declaration and Power of Attorney for Utility or Design Patent Application | | , | | | | | |
| ⊠ | Supplemental Information Disclosure Statement | ☐ Small Entity Statement ☐ Request for Refund | | | RECEIVED | | | | |
| | Supplemental PTO-1449 Copies of IDS Citations | | | | AUG 1 3 7002 | | | | |
| | Certified Copy of Priority Document(s) | | After Allowance Communication to Group | | Technology Center 2600 | | | | |
| | Response to Missing Parts/ Incomplete Application | | | | | | | | |
| COR | RRESPONDENCE ADDRESS | | | SIGNATURE BLO | SIGNATURE BLOCK | | | | |
| Direc | Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7100 Fax No.: (617) 248-7100 | | | Date: August 6, 200 Reg. No. 42,545 Tel. No.: (617) 248- Fax No.: (617) 248- | -7675 | John V. Forcier Attorney for Applicants Festa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 | | | |





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Ostgaard et al.

SERIAL NO.:

10/008,379

GROUP NO.:

2621

FILING DATE:

November 5, 2001

EXAMINER:

Not Yet Assigned

TITLE:

Cytological Imaging Systems and Methods

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 6th day of August, 2002.

Diane Racicot

Commissioner for Patents Washington, D.C. 20231

RECEIVED

Sir:

AUG 1 3 2002

Technology Center 2600

Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Supplemental Information Disclosure Statement (1 page);
- 3. Supplemental Form PTO-1449 (1 page);
- 4. References Labeled A93-A95, B3-B5;
- 5. and a return receipt postcard.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Ostgaard et al.

SERIAL NO.:

10/008,379

GROUP NO.:

2621

FILING DATE:

November 5, 2001

EXAMINER:

Not Yet Assigned

TITLE:

Cytological Imaging Systems and Methods

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. A copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

| \boxtimes | (1) | within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or |
|-------------|-----|---|
| | (2) | after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and |
| | | the requisite Statement is below, OR |
| | | the requisite fee under Rule 1.17(p), namely \$240.00, is included herein, or |
| | (3) | after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, AND |
| | | Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, AND |
| | | the requisite Statement is below, AND the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein |

Supplemental Information Disclosure Statement

Serial No.: 10/008,379

Page 2 of 2

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It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- 1. [E]ach item of information contained in the Information Disclosure

 Statement was cited in a communication from a foreign patent office in a
 counterpart foreign application **not more than** three months prior to the
 filing date of the Information Disclosure Statement; or
- 2. [N]o item of information contained in the Information Disclosure
 Statement was cited in a communication from a foreign patent office in a
 counterpart foreign application, and to the knowledge of the person
 signing this Statement after making reasonable inquiry, no item of
 information contained in the Information Disclosure Statement was known
 to any individual designated in §1.56(c) more than three months prior to
 the filing of the Information Disclosure Statement.

FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Date: August 6, 2002

Reg. No. 42,545

Tel. No.: (617) 248-7675

Fax No.: (617) 248-7100

Respectfully submitted,

John V. Forcier

Attorney for Applicants

Testa, Hurwitz, & Thibeault, LLP

High Street Tower 125 High Street

Boston, Massachusetts 02110

2466441

ATTORNEY DOCKET NO.: CYM-037 **FORM PTO – 1449** AU6 1 2 2002 8 APPLICANT(S): Ostgaard et al. SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT** SERIAL NO.: 10/008,379 FILING DATE: November 5, 2001 GROUP: 2621 U.S. PATENT DOCUMENTS **CLASS** SUB FILING DATE IF DATE NAME DOCUMENT EXAM. **CLASS** APPROPRIATE NUMBER INIT. 8/17/71 Leffler A93 3,600,057 11/12/96 Fowler et al. A94 5,574,594 10/5/99 Domanik et al. 5,963,368 A95 FOREIGN PATENT DOCUMENTS **ENGLISH** COUNTRY SUB **FILING ABSTRACT** EXAM. DOCUMENT DATE **CLASS** NUMBER CODE **CLASS** DATE ONLY LANG INIT. (Y/N) 0 849 765 A2 6/24/98 EP **B3** JP 2/2/96 **B4** 08029693 **B5** 09073033 3/18/97 JP OTHER ART, JOURNAL ARTICLES, ETC. OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) EXAM. INIT.

DATE CONSIDERED

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EXAMINER

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